

## Section 5. Serial Communication

### Some Coding Concepts in Computer Communication



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## Error Control Coding

**Goal:** detect "errors" (e.g., flipped bits) in transmitted segment

### Block code

a set of code words of fixed length  $n$ , with each code word being an  $n$ -tuple over a finite field:  $\underline{S}, \underline{V} = \text{all } n\text{-tuples}$

### Linear code

If  $\underline{S}$  forms a subspace of  $\underline{V}$ , then  $\underline{S}$  is called linear code

**codeword:** a word in  $\underline{S}$  is called codeword and otherwise noncodeword

**Hamming weight (w):** # of nonzero components of  $\underline{X} = (x_1, x_2, \dots, x_n)$

**Hamming distance (d):** # of positions in which the two words differ<sub>2</sub>

### Minimum Distance:

the minimum of the distances between all pairs of code  $\underline{C}$ , it is also the distance of the code.

examples:

$$x=(10011), y=(01010),$$

$$w(x)=3, w(y)=2, d(x,y)=3$$

$$\underline{C} = (001, 010, 100, 110, 101, 011)$$

distance of  $\underline{C}$  is 1



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## Coding Theory

### Theorem 1:

It is necessary and sufficient that the distance of a code is at least  $d$  in order to detect any error pattern of weight  $d-1$  or less

How do we design a code that has distance  $d$ ?

A linear code can be represented by a matrix  $G$ , or  $H$ :

$$G = \begin{pmatrix} g_{0,0} & g_{0,1} & \dots & g_{0,n-1} \\ \dots & \dots & \dots & \dots \\ g_{k-1,0} & g_{k-1,1} & \dots & g_{k-1,n-1} \end{pmatrix}$$

Where  $n$  is the code length,  $k$  is number of information bits, and  $n-k$  is number of parity bits



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## Representation of Linear Code

Matrix  $G$  is called generating matrix

Matrix  $H$ , defined as a null space of  $G$ , is called parity matrix

$G$  or  $H$  uniquely defines a linear code

Code words of the linear code are generated by multiplying all possible information words to  $G$

$$\text{If } G = [I_k \ P_{k,n-k}]$$

$$\text{then } H = [P_{k,n-k}^T \ I_{n-k}]$$

codewords =

$$u * \begin{pmatrix} g_{0,0} & g_{0,1} & \dots & g_{0,n-1} \\ \dots & \dots & \dots & \dots \\ g_{k-1,0} & g_{k-1,1} & \dots & g_{k-1,n-1} \end{pmatrix}$$

For  $u = 0 \dots 00, 0 \dots 01, \dots, 1 \dots 1$



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## For Binary Numbers, It is simple:

Define binary addition:

$$0 + 0 = 0;$$

$$0 + 1 = 1;$$

$$1 + 0 = 1;$$

$$1 + 1 = 0.$$

Exclusive-OR

For example:

$$G = \begin{pmatrix} 10110 \\ 11011 \\ 01010 \end{pmatrix} \xrightarrow{\text{Through canonical reduction}} G = \begin{pmatrix} 10001 \\ 01010 \\ 00111 \end{pmatrix}$$

$$U * H^T = \underline{0}$$

$$H = \begin{pmatrix} P_{1,1} & P_{1,2} \\ \dots & \dots \\ P_{3,1} & P_{3,2} \end{pmatrix} = \begin{pmatrix} 01110 \\ 10101 \end{pmatrix}$$



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## Two Orthogonal Matrices

$$G = \begin{pmatrix} 10 \dots 0 & p_{1,1} & p_{1,2} & \dots & p_{1,n-k} \\ 01 \dots 0 & p_{2,1} & p_{2,2} & \dots & p_{2,n-k} \\ \dots & \dots & \dots & \dots & \dots \\ 00 \dots 1 & p_{k,1} & p_{k,2} & \dots & p_{k,n-k} \end{pmatrix}$$

$$H = \begin{pmatrix} p_{1,1} & p_{1,2} & \dots & p_{1,k} & 10 \dots 0 \\ p_{2,1} & p_{2,2} & \dots & p_{2,k} & 01 \dots 0 \\ \dots & \dots & \dots & \dots & \dots \\ p_{k,1} & p_{k,2} & \dots & p_{k,k} & 00 \dots 1 \end{pmatrix}$$

For any  $i$  and  $j$ , we have

$$(00 \dots 1 \dots 00 \ p_{i,1} \ p_{i,2} \ \dots \ p_{i,n-k})$$

$$* (p_{1,1} \ p_{1,2} \ \dots \ p_{1,k} \ 00 \dots 1 \ \dots 0)^T$$

$$= p_{i,j} + p_{i,j} = \underline{0}$$



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## Distance and Error Control

How do we relate distance of a code to G?

Theorem 2: For any codeword  $\underline{u}$  of weight  $d$  in a linear code  $\mathcal{C}$ ,  $d$  columns of its H matrix are linear dependent

Theorem 3: A linear code  $\mathcal{C}$  has distance at least  $d$  iff every  $d-1$  or fewer columns of its H matrix are linearly independent

recall that distance of a code is also the minimum weight.



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## An Example

Design a 5-bit linear code that can detect 2 bits errors

- come up with a H matrix with distance 3
- derive G from H
- generate all codewords
- encoder and decoder circuit.

$$H = \begin{pmatrix} 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 \end{pmatrix} \quad \text{Through canonical reduction} \quad H = \begin{pmatrix} 0 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 & 1 \end{pmatrix} \quad G = \begin{pmatrix} 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 \end{pmatrix}$$



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## Defining CRC

**Definition:** A linear code  $\mathcal{C}$  is said to be a cyclic code if for any code word  $\underline{u}=(u_0, u_1, \dots, u_{n-1})$  in  $\mathcal{C}$ , the word  $\underline{u}'=(u_{n-1}, u_0, u_1, \dots, u_{n-2})$  obtained by a shift of the bits to the right cyclically is also a code word in  $\mathcal{C}$ .

In cyclic code, we use polynomials to represent codeword, e.g 1101 is represented using  $X^3 + X^2 + 1$

It is the algebra of polynomials modulo  $x^n + 1$ ,  $x^n = 1 \pmod{(x^n + 1)}$

For example,  $X^7 + 1$  can be factorized as

$$X^7 + 1 = (X+1)(X^3 + X + 1)(X^3 + X^2 + 1)$$

Any factor can be a generator of a cyclic code.



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## An Example

Assume C is generated by  $g(x) = (X^3 + X + 1)$ , then we have

$0^0 g(x) = 0$	0000000
$1^0 g(x) = X^3 + X + 1$	0001011
$x^0 g(x) = X^3 + X^2 + X$	0010110
$(x+1)^0 g(x) = X^4 + X^3 + X^2 + 1$	0011101
$X^2 * g(x) = x^3 + x^2 + x$	0101100
$(x^2+1)^0 g(x) = x^3 + x^2 + x + 1$	0100111
$(x^2+x)^0 g(x) = x^3 + x^2 + x^2 + x$	0111010
$(x^2+x+1)^0 g(x) = x^3 + x^2 + 1$	0110001
$X^3 * g(x) = x^6 + x^4 + x^3$	1011000
$(x^3+1)^0 g(x) = x^6 + x^4 + x + 1$	1010011
$(x^3+x)^0 g(x) = x^6 + x^4 + x^2 + x$	1001110
$(x^3+x+1)^0 g(x) = x^6 + x^4 + 1$	1000101
$(x^3+x^2)^0 g(x) = x^6 + x^5 + x^4 + x^2$	1110100
$(X^3+x^2+1)^0 g(x) = x^6 + x^5 + x^4 + x^2 + x + 1$	1111111
$(x^3+x^2+x)^0 g(x) = x^6 + x^5 + x$	1100010
$(x^3+x^2+x+1)^0 g(x) = x^6 + x^5 + x^3 + 1$	1101001



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## A few important points

Every proper divisor,  $g(x)$ , of  $(x^n + 1)$  generates an  $(n,k)$  cyclic code, where  $r=n-k$  is the degree of  $g(x) = x^r + x^{r-1} + \dots + 1$

Every codeword polynomial is a multiple of  $g(x)$ , since  $g(x)$  generate the code

$d(x)g(x)$  generates codewords that are usually nonsystematic.

To generate systematic CRC code words, we divide  $d(x)x^r$  by  $g(x)$ ,

the remainder  $r(x)$  is added (concatenated) to the data part. i.e.

$$U(x) = d(x)x^r + r(x)$$

Algorithm:

- Append  $r$  0's to  $d(x)$   $\rightarrow$   $k+r$  bits:  $x^r d(x)$
- divide  $g(x)$  into  $x^r d(x)$ :  $x^r d(x)/g(x)$
- subtract (add) the remainder (which is always  $r$  or fewer bits) from  $x^r d(x)$  using modulo 2
- transmit the frame.



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## Which factor to chose as G?

Decoding:  $\text{Rem}\{(T(x)+E(x))/g(x)\} = \text{Rem}\{E(x)/g(x)\}$ ,

if **no remainder**  $\implies$  **no error!**

Key, all errors that do not have  $g(x)$  as a factor can be detected.

- If  $E(x) = x^i$ : any  $g$  that has 2 or more terms will detect it
- If  $E(x) = x^i + x^j = x^i(1 + x^{j-i})$ , any  $g$  that has a constant term, 1,  $x$  is not a factor, it is sufficient to detect if  $1 + x^{j-i}$  can not be divided by  $g$ .  
e.g.  $x^{15} + x^{14} + 1$  will not divide  $1 + x^k$  for  $k$  upto 32,768.
- If  $E(x)$  has odd number of bits:  
no polynomial with odd number of terms has  $x+1$  as a factor  
By making  $x+1$  a factor of  $g(x)$ , we can detect all odd number bits errors.



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## Selecting $g(x)$ for cyclic code

### Proof:

Assume  $E(x)$  has odd # of terms and has  $(x+1)$  as a factor.

Then we have

$$E(x) = (x+1)Q(x)$$

substituting  $x$  by 1 we have

$$E(1) = (1+1)Q(1) = 0$$

$$\text{But } E(1) = \underbrace{1 + 1 + \dots + 1}_{\text{Odd \# of 1's}} = 1.$$

Contradiction! Proved.



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## Selecting $g(x)$ for cyclic code

- $r$  check bits detect all bursty errors of length  $\leq r$**

Assume  $x^j (x^{k-1} + \dots + 1) \leq r$ ,  $j$  gives position  
if  $g_0 = 1$ ,  $x^j$  is not a factor of  $g(x)$

if  $k \leq r$ ,  $(x^{k-1} + \dots + 1) / g(x)$  is never divisible ie remainder is never 0.

Example  $g(x)$ :

$$\text{CRC-12} = (x^{12} + x^{11} + x^3 + x^2 + x + 1)$$

$$\text{CRC-16} = (x^{16} + x^{15} + x^2 + 1)$$

$$\text{CRC-CCITT} = (x^{16} + x^{12} + x^5 + 1)$$



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## An Example

$$g(x) = (X^3 + X^2 + 1),$$

To generate the CRC code, we multiply  $d(x)$  by  $X^3$  :

$$d(x) X^3$$

Then divide  $d(x) X^3$  by  $g(x) = (X^3 + X^2 + 1)$ ,

add remainder to  $d(x) X^3$  to obtain the transmitted word



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