

$$P_b = (\text{AVERAGE NUMBER OF BIT ERRORS}) / k$$

IN GENERAL,
$$P_b = \left(\sum_{n=1}^k n \binom{k}{n} \frac{P_M}{M-1} \right) / k$$

BUT
$$\sum_{n=0}^k n \binom{k}{n} \frac{1}{2^k} = \text{EXPECTED VALUE OF BINOMIAL RANDOM VARIABLE WITH } p = \frac{1}{2}$$

$$= k/2$$

$$\Rightarrow \sum_{n=0}^k n \binom{k}{n} \frac{1}{2^k} = \frac{k}{2}$$

$$\sum_{n=1}^k n \binom{k}{n} = k 2^{k-1}$$

$$P_b = \left(k 2^{k-1} \frac{P_M}{2^{k-1}} \right) / k = \frac{2^{k-1}}{2^{k-1}} P_M \approx P_M / 2$$

k LARGE

PLOTTING P_b VS SNR/BIT SHOWS
 P_b DECREASES AS M INCREASES - SEEMS
 TOO GOOD TO BE TRUE!

WE PLOTTED P_b VS E_b/N_0 . RECALL
 $E_s = k E_b$. AS $M = 2^k$ INCREASES, k
 INCREASES $\Rightarrow E_s$ INCREASES \Rightarrow CAN INCREASE
 AMPLITUDE OF EACH ORTHOGONAL SIGNAL.

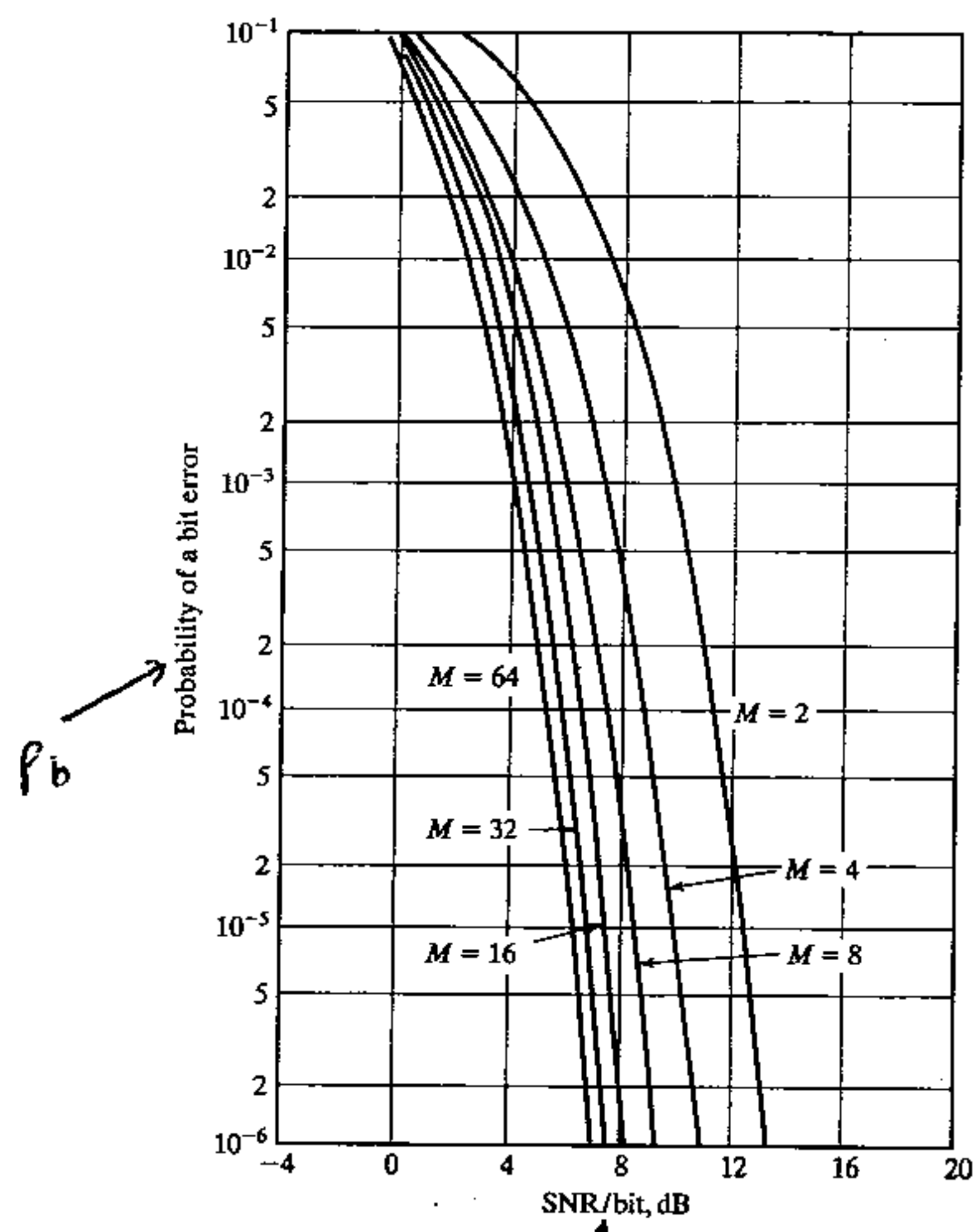
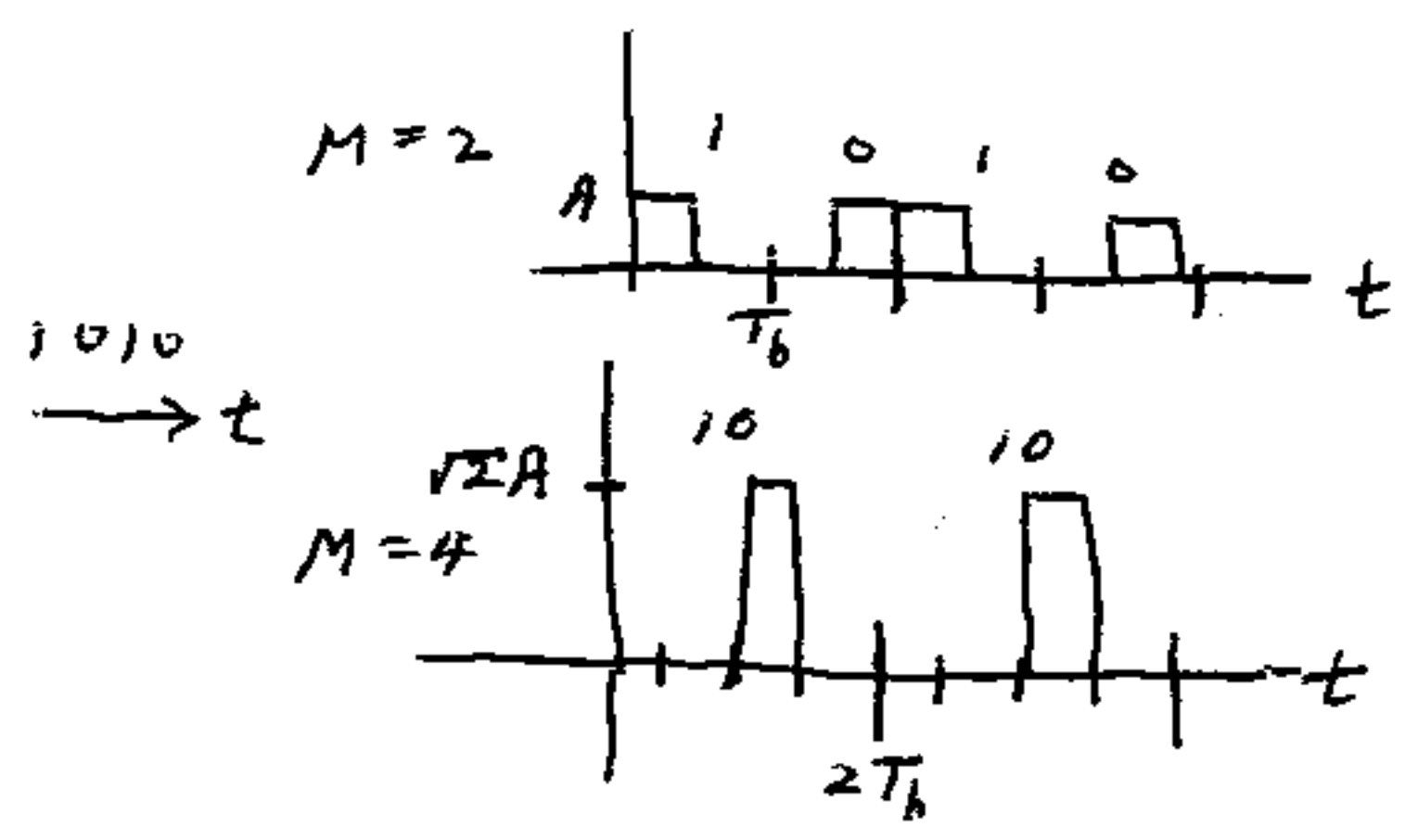
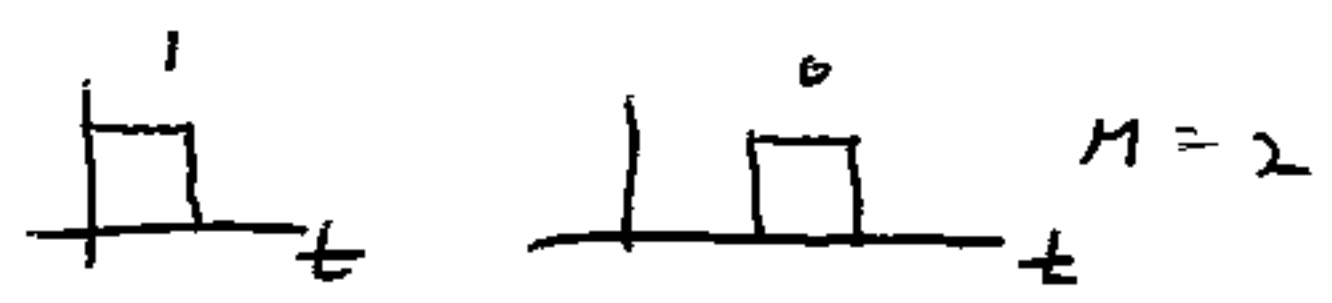
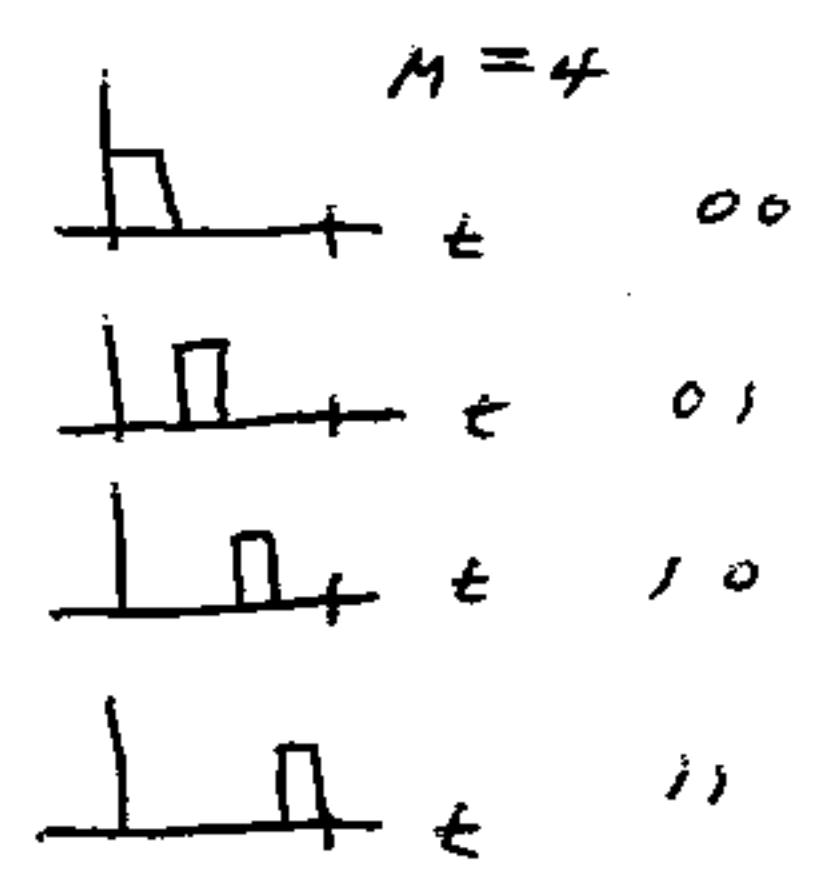


Figure 8.46 Probability of a bit error for optimum detection of orthogonal signals.

EXAMPLE: PPM



SAME AVERAGE POWER



AMPLITUDE GOES UP BUT MUST "SEARCH" OVER

MORE TIME SLOTS. ALSO, DECODING DELAY INCREASES (WHY?)

FINALLY, FOR k BITS OCCURRING AT RATE R_b BPS, HAVE kT_b SEC TO ENCODE. NUMBER OF TIME SLOTS = 2^k . THUS, EACH TIME SLOT IS

$$\frac{kT_b}{2^k} \text{ SEC} \rightarrow 0 \quad \text{AS } k \rightarrow \infty$$
$$\text{AS } M \rightarrow \infty$$

CAN WE TRANSMIT THESE PULSES?

RELAP: APPEARS AS IF BY INCREASING M FOR ORTHOGONAL SIGNALS, WE CAN HAVE $P_M \rightarrow 0$. THIS IS GENERALLY TRUE BUT WAS NOT THOUGHT POSSIBLE UNTIL CLAUDE SHANNON! (BELL LABS-1948)

UNION BOUND ON P_M (FOR ANY M -ARY SCHEME - NOT JUST ORTHOGONAL)

DERIVES FROM SIMPLE IDEA THAT

$$P(A \cup B) = P(A) + P(B) - P(A \cap B)$$
$$\leq P(A) + P(B) \quad = \text{HOLDS IFF } A \cap B = \emptyset$$

$$\text{OR } P\left(\bigcup_{i=1}^M A_i\right) \leq \sum_{i=1}^M P(A_i)$$

NOW CONSIDER M-ARY SIGNALING, $P(S_m) = 1/M$

$$P_m = P(\text{ERROR} | S_m(t) \text{ SENT}) \\ = P(S_1(t) \text{ DECODED} \cup S_2(t) \text{ DECODED} \\ \cup S_{m-1}(t) \text{ DECODED} \cup S_{m+1}(t) \text{ DECODED} \dots | S_m(t))$$

UNION BOUND

$$\leq \sum_{\substack{i=1 \\ i \neq m}}^M P(S_i(t) \text{ DECODED} | S_m(t))$$

BUT $P(S_i(t) \text{ DECODED} | S_m(t)) = \underbrace{P(D(y, \underline{s}_i) < D(y, \underline{s}_m))}_A \wedge \underbrace{P(D(y, \underline{s}_i) < D(y, \underline{s}_k))}_{B} | S_m(t)$

\uparrow
 $k=1, \dots, M$
 $k \neq i$

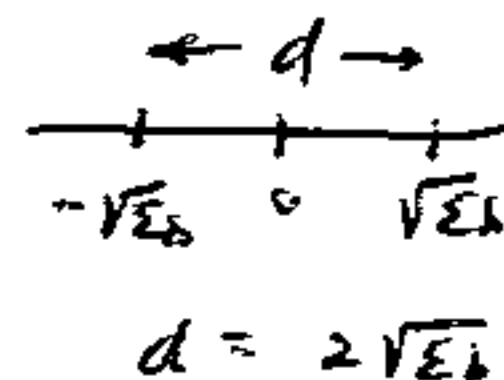
$P(A \cap B) \leq P(A)$ WHY?

$$\Rightarrow P(S_i(t) \text{ DECODED} | S_m(t)) \leq P(D(y, \underline{s}_i) < D(y, \underline{s}_m) | S_m(t))$$

→ BINARY EQUIPROBABLE PROBLEM

ERROR PROBABILITY = $Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$ (8.5.1)

$$= Q\left(\sqrt{\frac{2}{N_0}} \frac{d}{2}\right) \\ = Q\left(\frac{d}{\sqrt{2N_0}}\right)$$



LET $d \rightarrow d_{mi}$

$$P_m \leq \sum_{\substack{i=1 \\ i \neq m}}^M Q\left(\frac{d_{mi}}{\sqrt{2N_0}}\right) \leq \sum_{\substack{i=1 \\ i \neq m}}^M Q\left(\frac{d_{min}}{\sqrt{2N_0}}\right)$$

WHY?

WHERE d_{min} IS THE MINIMUM DISTANCE BETWEEN s_m AND ALL OTHER s_o 'S.

$$P_m \leq (M-1) \varphi \left(\frac{d_{min}}{\sqrt{2N_0}} \right) \quad s_m(t) \text{ WAS TRANSMITTED}$$

$$P_M = \sum_{k=1}^M P_k \frac{1}{M} \quad \text{BOUND}$$

↑ SAME FOR ALL k

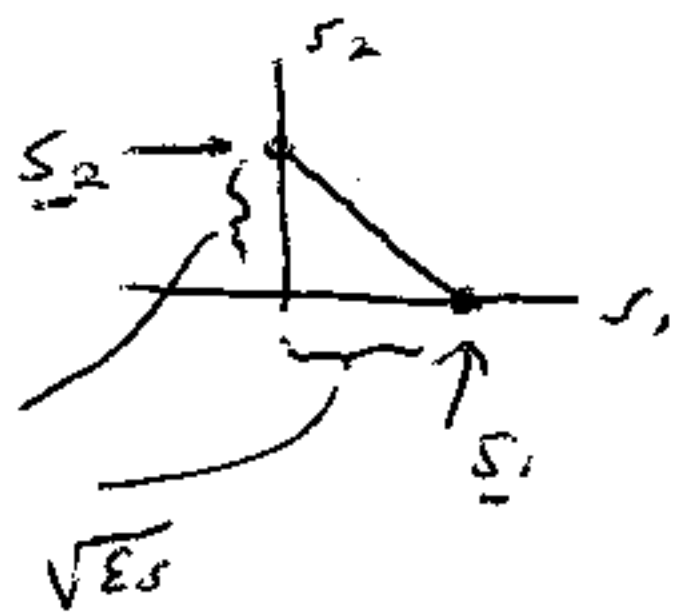
$$\leq \sum_{k=1}^M (M-1) \varphi \left(\frac{d_{min}}{\sqrt{2N_0}} \right) \frac{1}{M} = (M-1) \varphi \left(\frac{d_{min}}{\sqrt{2N_0}} \right)$$

BUT IT CAN BE SHOWN THAT

$$\varphi(x) \leq \frac{1}{2} e^{-\frac{1}{2}x^2}$$

$$\therefore P_M \leq \frac{M-1}{2} e^{-\frac{d_{min}^2}{4N_0}}$$

EXAMPLE : M-ARY ORTHOGONAL SIGNALING



M=2

$$d^2 = 2E_s$$

↑ SAME DISTANCE FOR ANY PAIR OF SIGNALS

$$\Rightarrow d_{min} = \sqrt{2E_s}$$

$$= \sqrt{2kE_b}$$

$$P_M \leq \frac{M-1}{2} e^{-\frac{d_{min}^2}{4N_0}} \leq M e^{-\frac{d_{min}^2}{4N_0}}$$

$$= M e^{-\frac{kE_b}{2N_0}} = 2^k e^{-\frac{kE_b}{2N_0}}$$

$$= e^{LN^2 k} e^{-k \epsilon_b / 2N_0}$$

$$= e^{-k(\epsilon_b / 2N_0 - LN^2)}$$

$$\therefore P_M \approx e^{-\frac{1}{2}k(\epsilon_b / N_0 - 2LN^2)}$$

$$\text{IF } \frac{\epsilon_b}{N_0} > 2LN^2 = 1.39 = 1.42 \text{ dB}$$

$$P_M \rightarrow 0 \text{ AS } k \rightarrow \infty \text{ OR } M \rightarrow \infty$$

\Rightarrow PERFECT (ERRORLESS) COMMUNICATION!

ACTUALLY, LOWER BOUND FOR $P_M \rightarrow 0$ IS

$$\frac{\epsilon_b}{N_0} > LN^2 = -1.6 \text{ dB}$$

IS CALLED SHANNON LIMIT FOR AWGN CHANNEL.

(NEED BETTER BOUNDING TECHNIQUES TO SHOW THIS)

CHAPTER 9 - TRANSMISSION VIA BANDLIMITED AWGN CHANNELS (9.1-9.4)

ALL BASEBAND CHANNELS ARE BANDLIMITED (WHY WE REFER TO THEM AS BASEBAND!)

ASSUME BANDWIDTH = B_c Hz

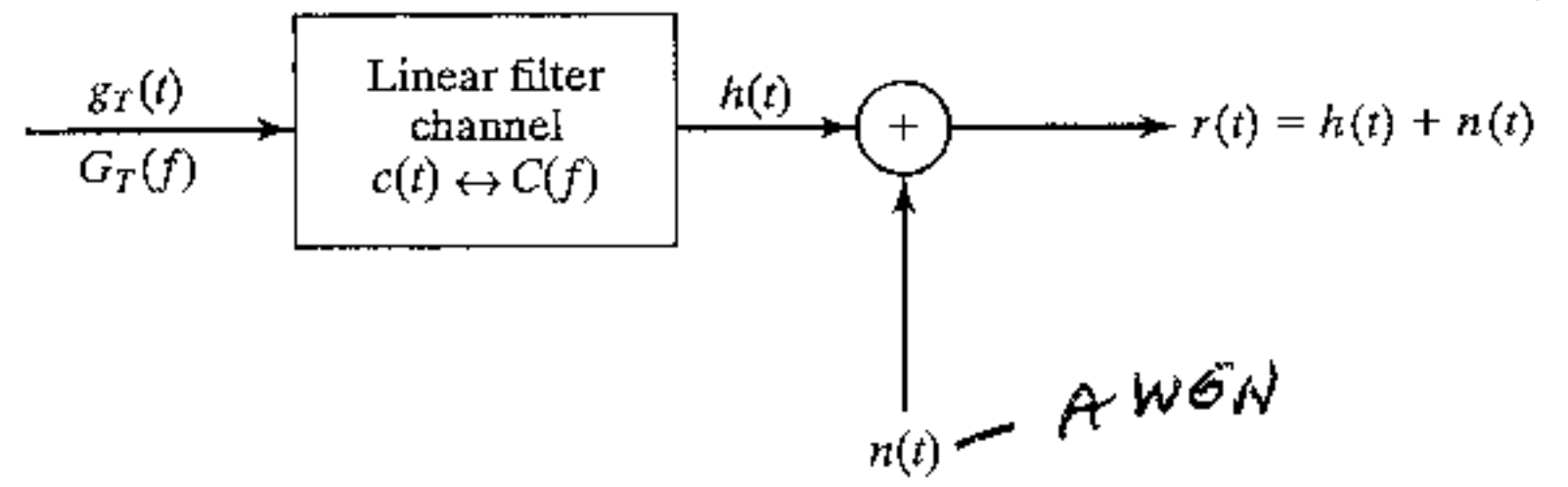
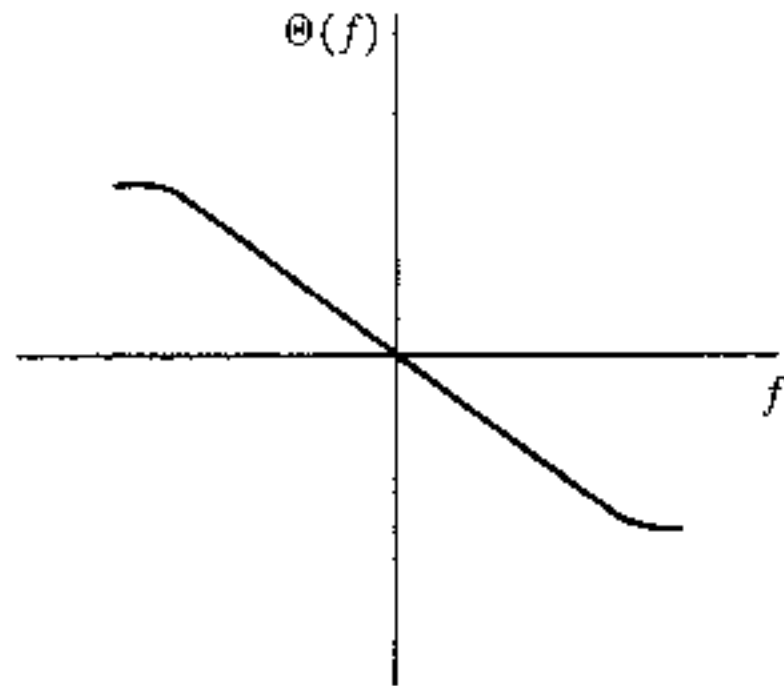
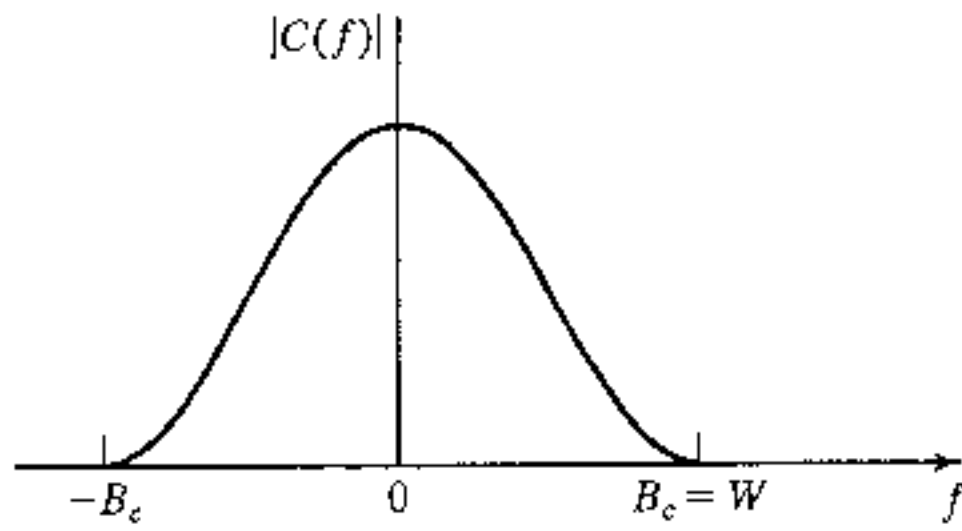


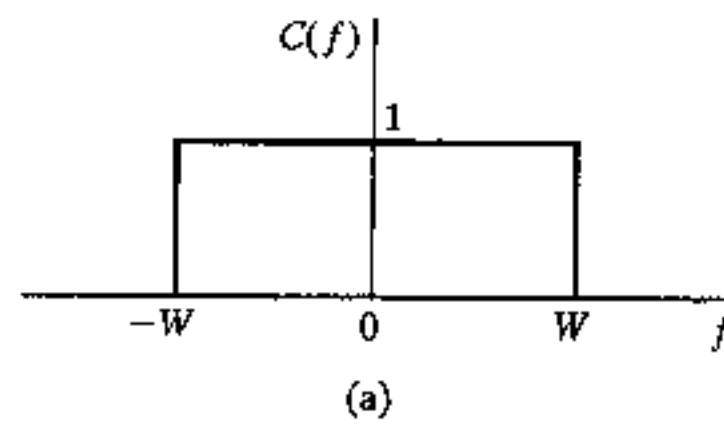
Figure 9.2 Linear filter model for a bandlimited channel.

OUTPUT SIGNAL OF CHANNEL
NON DISTORTED

$$h(t) = g_T(t) * c(t)$$

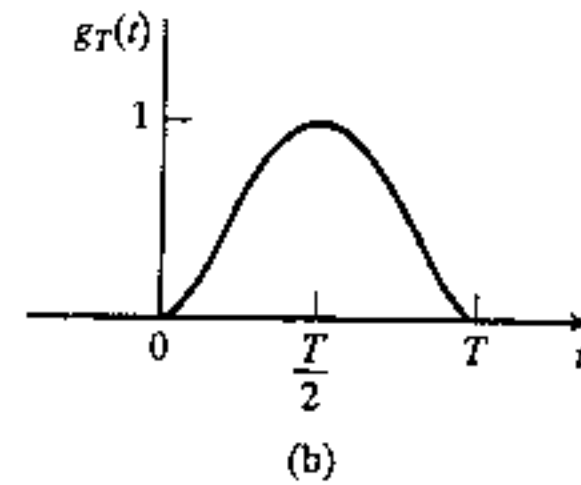
$$\text{OR } H(f) = C(f) G_T(f)$$

EXAMPLE :



$\theta(f) = 0$

$$g_T(t) = \frac{1}{2} \left[1 + \cos \frac{2\pi}{T} \left(t - \frac{T}{2} \right) \right], \quad 0 \leq t \leq T$$



FOR NO DISTORTION
NEED $W \approx \frac{5}{T}$

FOR BINARY PAM
 $W \approx \frac{5}{T_b} = 5R_b$

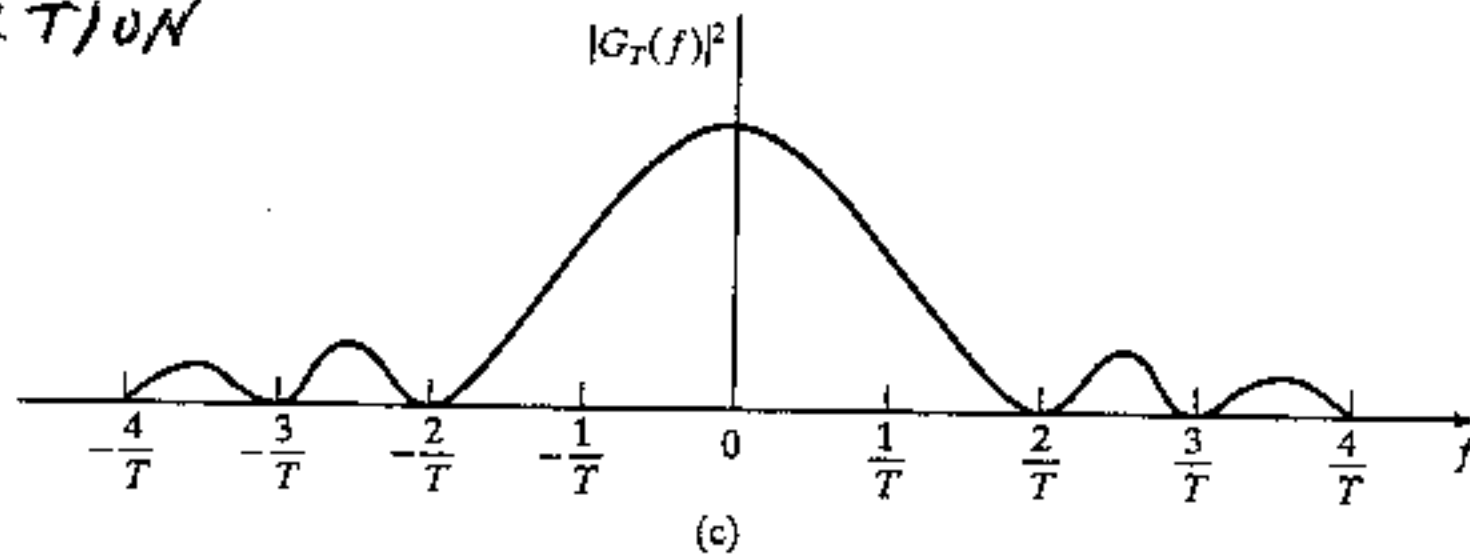


Figure 9.3 The signal pulse in (b) is transmitted through the ideal bandlimited channel shown in (a). The spectrum of $g_T(t)$ is shown in (c).

RECALL LPC-10, $R_b = 2400 \text{ BPS} \Rightarrow$
 NEED $W = 12,000 \text{ Hz}$. THIS IS TOO LARGE
 FOR TELEPHONE CHANNEL. ONLY HAVE ABOUT
 4000 Hz .

DIGRESSION - MATCHED FILTER
IN FREQ. DOMAIN
 (SEE PAGE 401)

RECALL THAT $h(t) = s(T-t)$ $0 \leq t \leq T$

$$\begin{aligned} H(f) &= \int_0^T h(t) e^{-j2\pi ft} dt = \int_0^T \underbrace{s(T-t)}_u e^{-j2\pi ft} dt \\ &= \int_0^T s(u) e^{-j2\pi f(T-u)} du \quad \Rightarrow t = T-u \\ &= \int_0^T s(u) e^{j2\pi fu} du e^{-j2\pi fT} \\ &= S^*(f) e^{-j2\pi fT} \end{aligned}$$

$T = \text{SAMPLE TIME}$

NOW FIND RESPONSE TO $s(t)$

$$y_s(t) = h(t) * s(t)$$

$$Y_s(f) = H(f) S(f)$$

$$= S^*(f) e^{-j2\pi fT} S(f)$$

$$= |S(f)|^2 e^{-j2\pi fT}$$

NOT DEPENDENT
 ON PHASE OF
 $S(f)$

$$y_s(t) = \int_{-\infty}^{\infty} Y_s(f) e^{j2\pi ft} df$$

$$= \int_{-\infty}^{\infty} |S(f)|^2 e^{-j2\pi f(T-t)} df$$

AT THE SAMPLE TIME

$$y_s(T) = \int_{-\infty}^{\infty} |S(f)|^2 df = E_s$$

NOW THE OUTPUT SIGNAL OF CHANNEL IS

$$g_T(t) * c(t) = s(t), \text{ OR } S(f) = G_T(f) C(f)$$

THUS, OUR MATCHED FILTER SHOULD BE

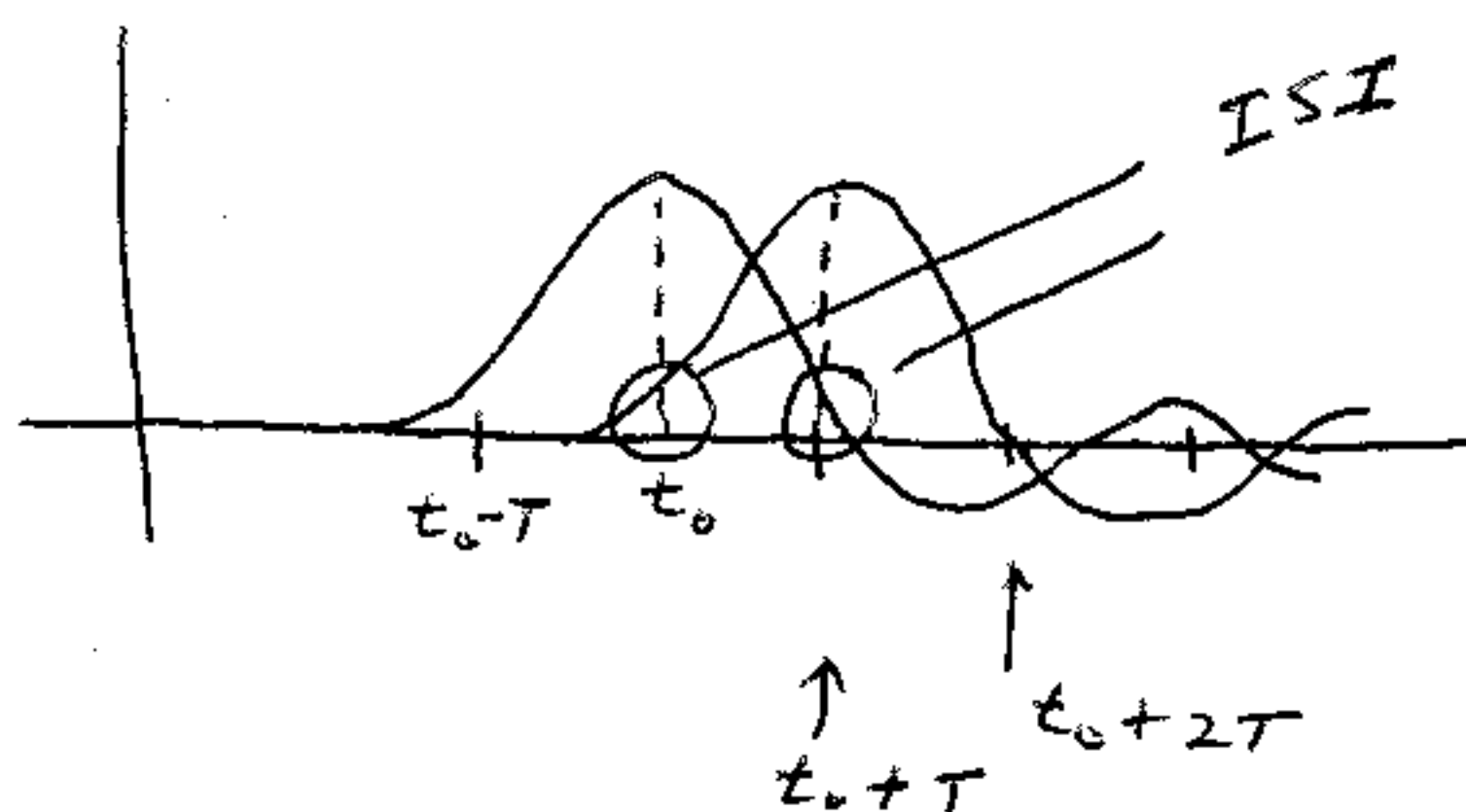
$$S^*(f) e^{-j2\pi f T} = G_T^*(f) C^*(f) e^{-j2\pi f T}$$

↑ NEED TO KNOW THIS

FOR NOW WE WILL ASSUME $C(f)$ IS KNOWN.

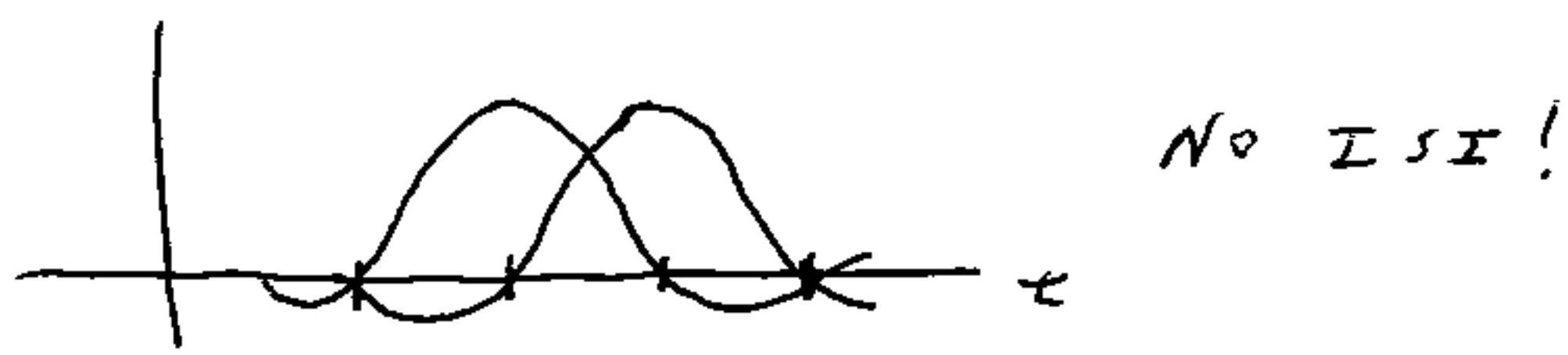
EFFECT OF WAVEFORM DISTORTION IS INTERSYMBOL INTERFERENCE (ISI), SINCE WE NOW CONSIDER A SEQUENCE OF RECEIVED PULSES (NO LONGER ONE-SHOT TRANSMISSION).

EXAMPLE: TWO SUCCESSIVE PULSE OUTPUTS OF MATCHED FILTER



$t_0 =$ CHANNEL DELAY

WOULD BE NICE IF AT SAMPLE TIMES, $t_0, t_0 + T, t_0 + 2T, \dots$ ALL INTERFERING PULSES WENT THROUGH ZERO.



PAM TRANSMISSION

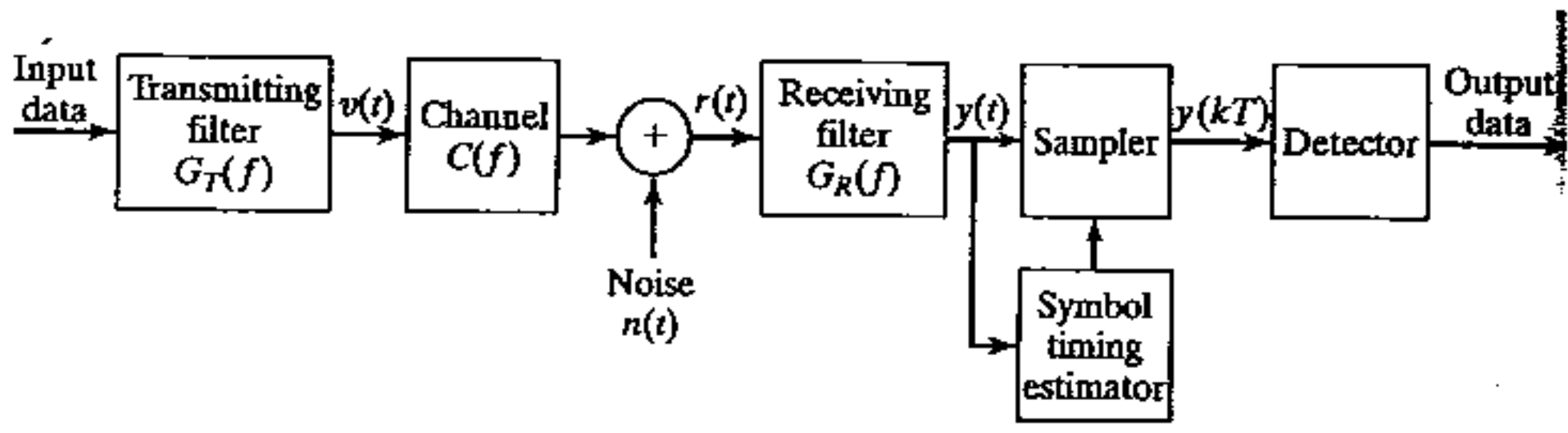


Figure 9.4 Block diagram of a digital PAM system.

NEEDED TO DECIDE WHEN TO SAMPLE - WANT TO SAMPLE AT PEAK (t₀ MAY NOT BE KNOWN)

G_R(f) ADDED TO SHAPE OUTPUT PULSE TO HAVE ZEROS AT OTHER SAMPLING TIMES.

CONSIDER M-ARY PAM - CODE k BITS INTO ONE OF M = 2^k LEVELS. LET a DENOTE THE LEVEL AND a_n THE LEVEL AT TIME nT. (RECALL T = kT_b). SEQUENCE OF PAM LEVELS ARE ..., a₋₁, a₀, a₁, ...

$$r(t) = \sum_{n=-\infty}^{\infty} a_n g_T(t-nT)$$

$$\text{LET } h(t) = g_T(t) * c(t)$$

OUTPUT OF CHANNEL IS

$$r(t) = \sum_{n=-\infty}^{\infty} a_n \underbrace{g_T(t-nT) * c(t)}_{h(t-nT)} + n(t)$$

← SHOW THIS

AT THE RECEIVING FILTER OUTPUT:

$$y(t) = \sum_{n=-\infty}^{\infty} a_n h(t-nT) * g_R(t) + n(t) * g_R(t)$$

$$\text{LET } x(t) = h(t) * g_R(t) = g_T(t) * c(t) * g_R(t)$$

$$y(t) = \sum_{n=-\infty}^{\infty} a_n x(t-nT) + \underbrace{n(t) * g_R(t)}_{w(t)}$$

AT THE SAMPLE TIMES, WHICH WE ASSUME ARE $\dots, -T, 0, T, \dots$ (ADJUSTED FOR CHANNEL DELAY)

$$y(mT) = \sum_{n=-\infty}^{\infty} a_n x(m-nT) + w(mT)$$

NEXT ASSUME THAT $x(t)$ HAS ITS PEAK AT TIME $t=0 \Rightarrow$ FOR A ONE-SHOT TRANSMISSION AT $n=0$ (IGNORES CHANNEL DELAY)

$$y(mT) = a_0 x(mT) + w(mT)$$



$$y(0) = a_0 x(0) + w(0)$$

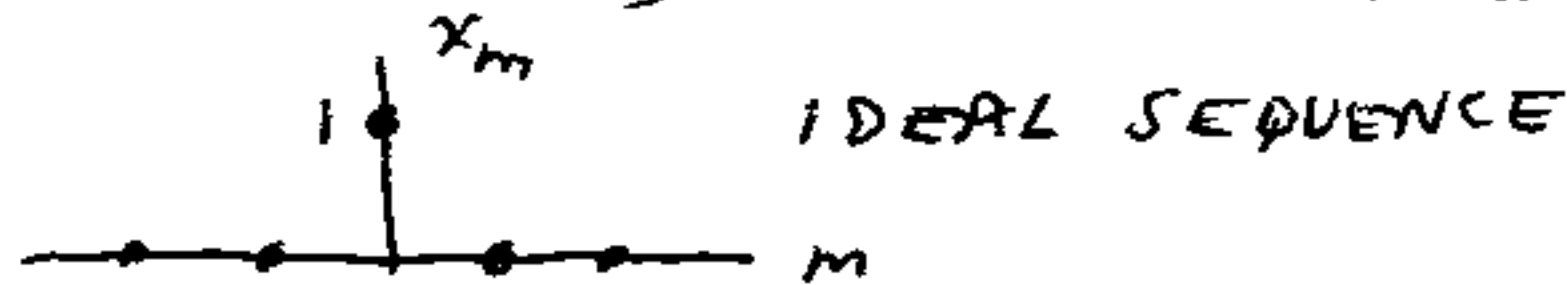
THUS, WE SAMPLE AT $t = 0$ TO DETERMINE a_0 OR IN GENERAL $y(mT)$ USED TO DECODE a_m .

LET $y_m = y(mT)$, ETC.

$$y_m = \sum_{n=-\infty}^{\infty} a_n x_{m-n} + w_m$$

$$= a_m x_0 + \underbrace{\sum_{\substack{n=-\infty \\ n \neq m}}^{\infty} a_n x_{m-n}}_{\text{ISI}} + w_m$$

TO HAVE ISI = 0, REQUIRE $x_{m-n} = 0$ FOR $m \neq n$



SIGNAL DESIGN

FIRST CONSIDER AN IDEAL CHANNEL

$$C(f) = \begin{cases} c_0 e^{-j2\pi f t_0} & |f| \leq W \\ 0 & |f| > W \end{cases}$$

t_0 IS TRANSMISSION DELAY, c_0 IS CHANNEL GAIN ($c_0 > 0$). IF INPUT SIGNAL IS $x(t)$, OUTPUT SIGNAL IS $c_0 x(t - t_0)$.

FOR $g_T(f)$ BANDLIMITED TO W Hz AND THE RECEIVING FILTER A MATCHED FILTER.

$$x(t) = g_T(t) * c(t) * g_R(t)$$

$$= c_0 g_T(t - t_0) * g_R(t)$$

LET $c_0 = 1$, $t_0 = 0$ FOR SIMPLICITY

$$\Rightarrow x(t) = g_T(t) * g_R(t)$$

$$x_m = g_T(t) * g_R(t) \Big|_{t=mt}$$

↑
MF

OVERALL x_m MAY NOT BE ZERO FOR $m \neq 0$
EFFECT OF ISI CAN BE DISPLAYED USING
"EYE" DIAGRAM.

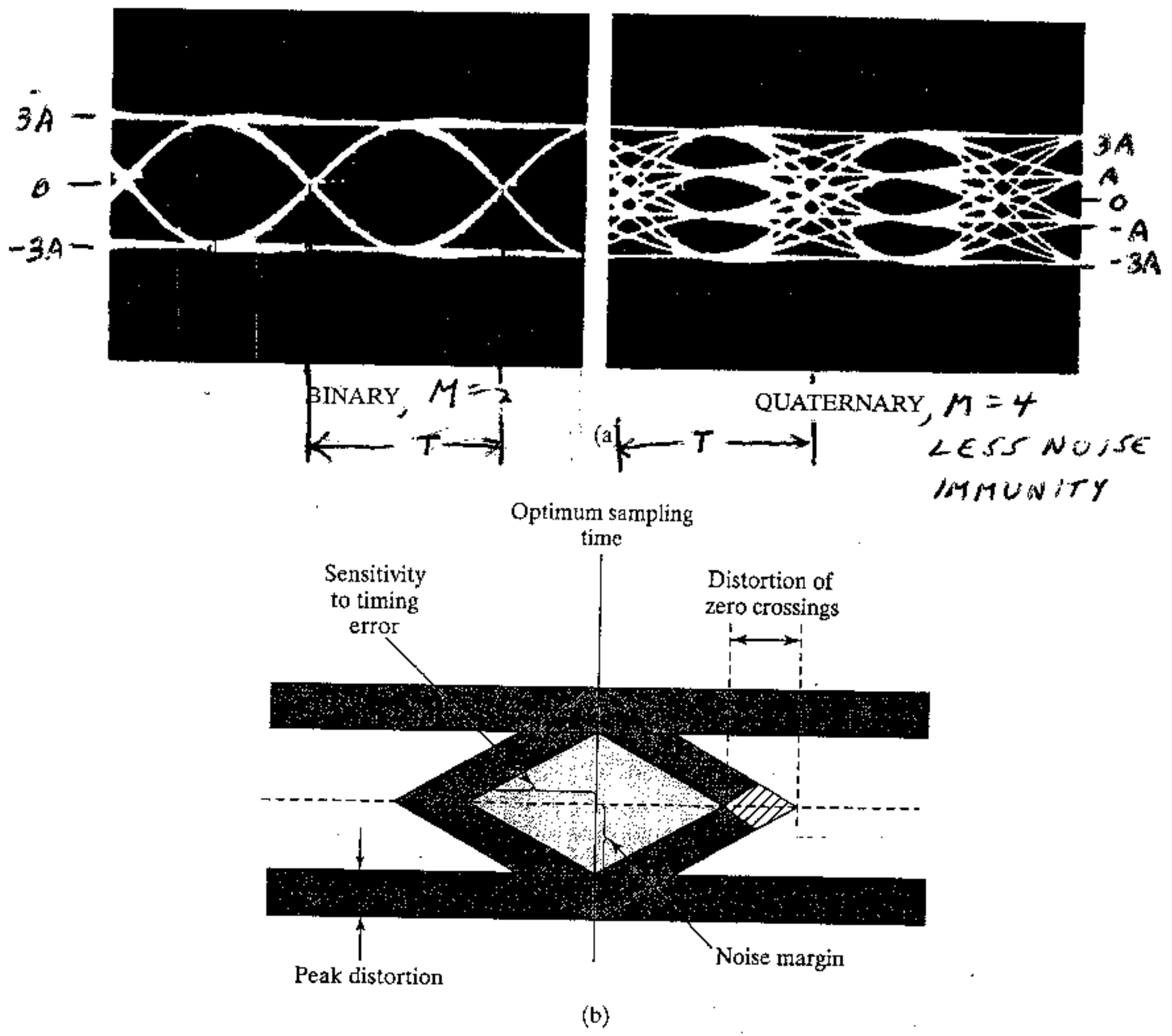
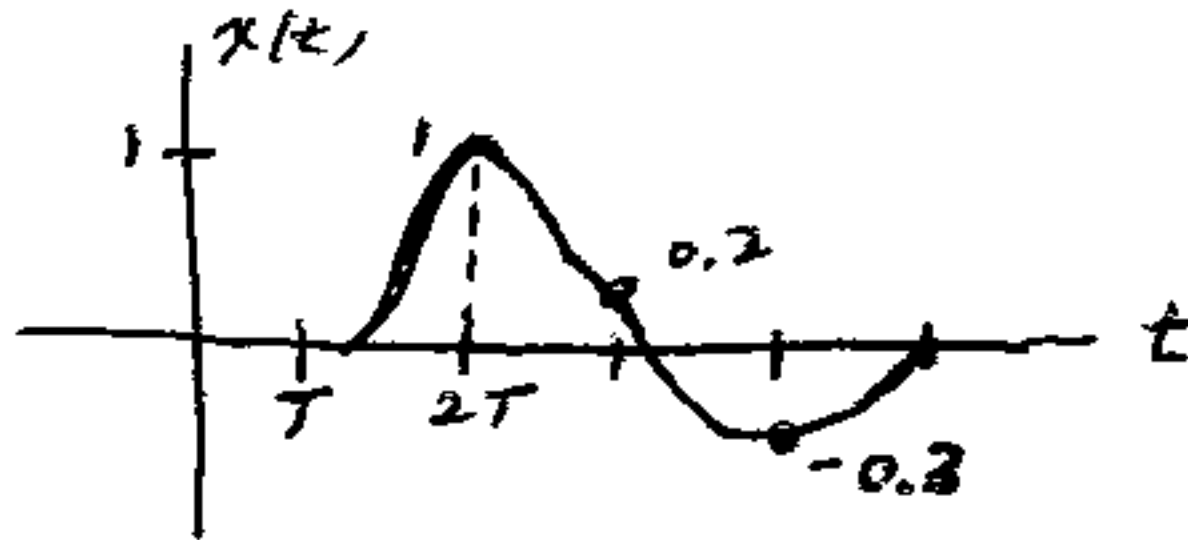


Figure 9.5 Eye patterns. (a) Examples of eye patterns for binary and quaternary PAM and (b) the effect of ISI on eye opening.

EXAMPLE: BINARY PAM, $a_m = \pm 1$

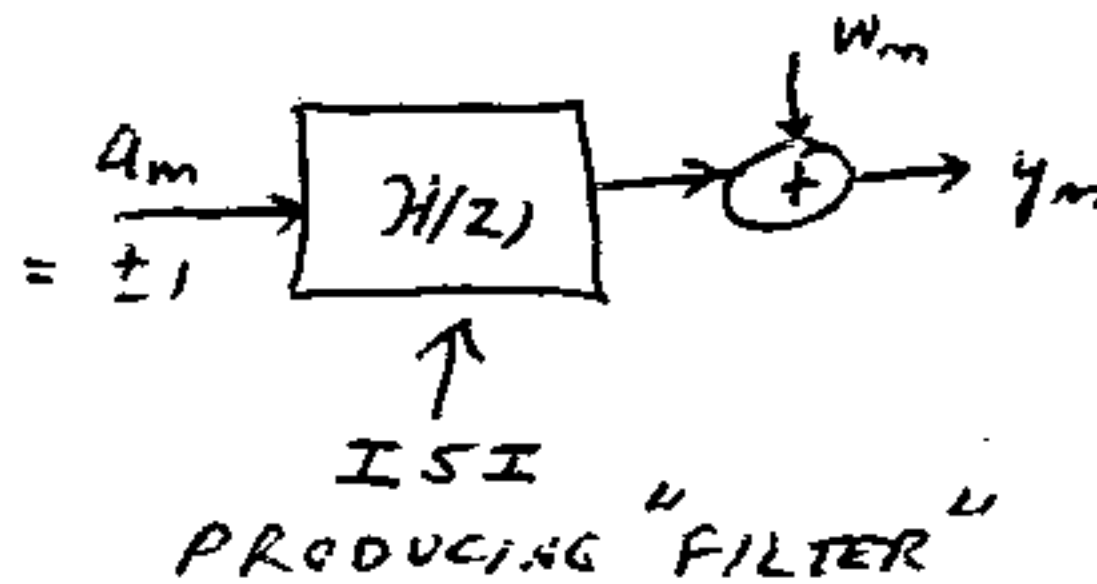
$$y_m = a_m + 0.2 a_{m-1} - 0.3 a_{m-2} + w_m$$



$$t_0 = 2T$$

WORST CASE OCCURS IF $a_m = 1$, $a_{m-1} = -1$,
 $a_{m-2} = 1 \Rightarrow y_m = 1 - 0.2 - 0.3 = 0.5$
 \Rightarrow 50% AMPLITUDE REDUCTION.

NOTE:



$$H(z) = 1 + 0.2z^{-1} - 0.3z^{-2}$$

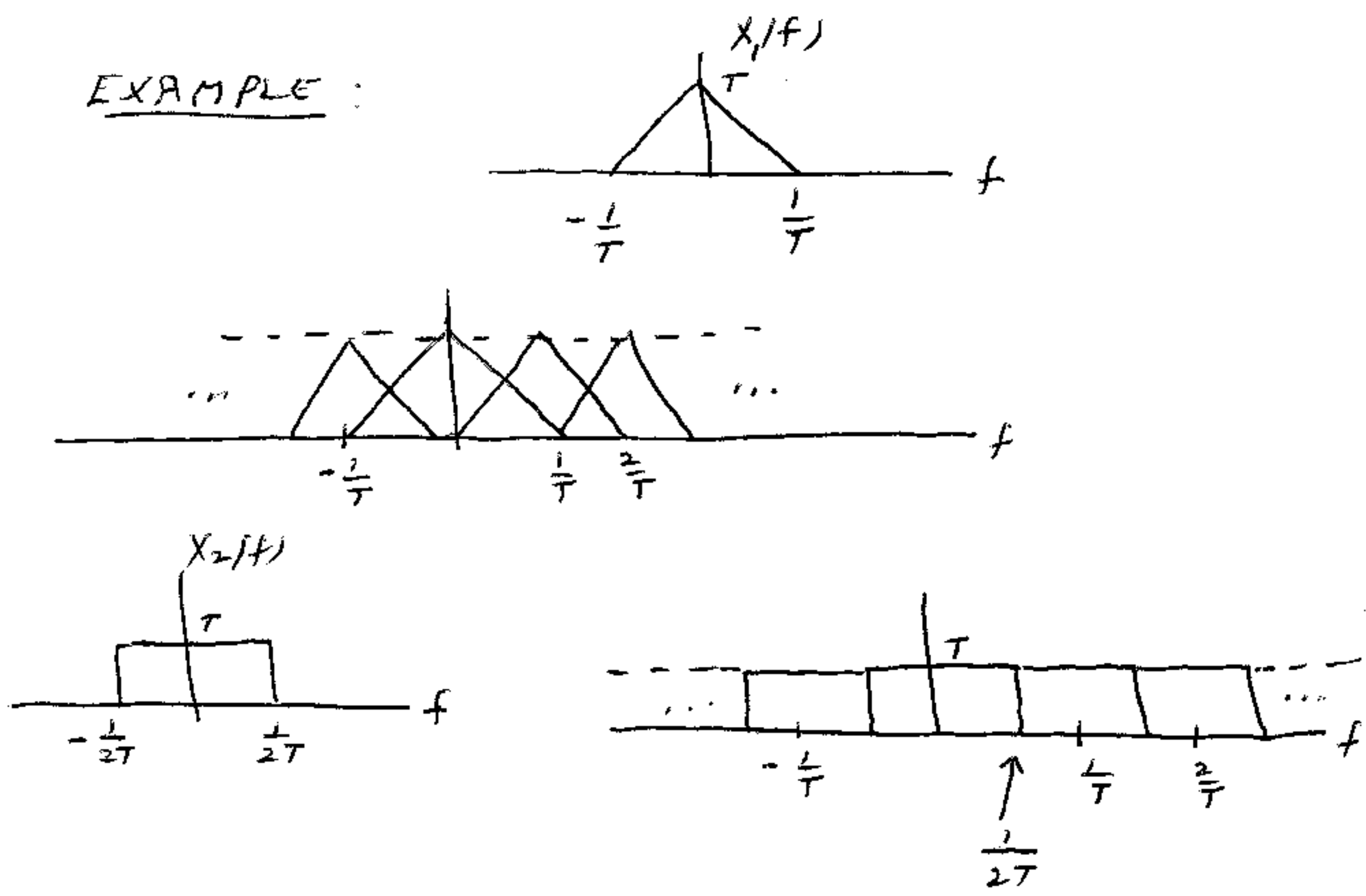
NYQUIST CRITERION FOR ZERO ISI

WANT (FOR $t_0 = 0$), $x(nT) = 1$, $n=0$ AND
 $x(nT) = 0$ $n \neq 0$.

NYQUIST CONDITION: CHOOSE $x(t)$ SO THAT

$$\sum_{m=-\infty}^{\infty} x\left(t + \frac{m}{T}\right) = T$$

EXAMPLE :



CLEARLY, $X(f)$ MUST HAVE FREQUENCY COMPONENTS TO AT LEAST $\omega = \frac{1}{2T} = \frac{fs}{2}$

PROOF : SAMPLING THEOREM PROOF
(SEE ALSO PROOF IN BOOK)

$$\text{LET } x_s(t) = \sum_{n=-\infty}^{\infty} x(nT) \delta(t-nT) = s(t)$$

$$\text{SINCE } \begin{matrix} x(nT) = 1 & n=0 \\ 0 & n \neq 0 \end{matrix}$$

$$s(t) = x(t) \sum_{n=-\infty}^{\infty} \delta(t-nT)$$

FOURIER TRANSFORM BOTH SIDES

$$\begin{aligned}
 1 &= X(f) * \frac{1}{T} \sum_{m=-\infty}^{\infty} \delta(f - m/T) \\
 &= \frac{1}{T} \sum_{m=-\infty}^{\infty} X(f - m/T) = \frac{1}{T} \sum_{m=-\infty}^{\infty} X(f + m/T)
 \end{aligned}$$

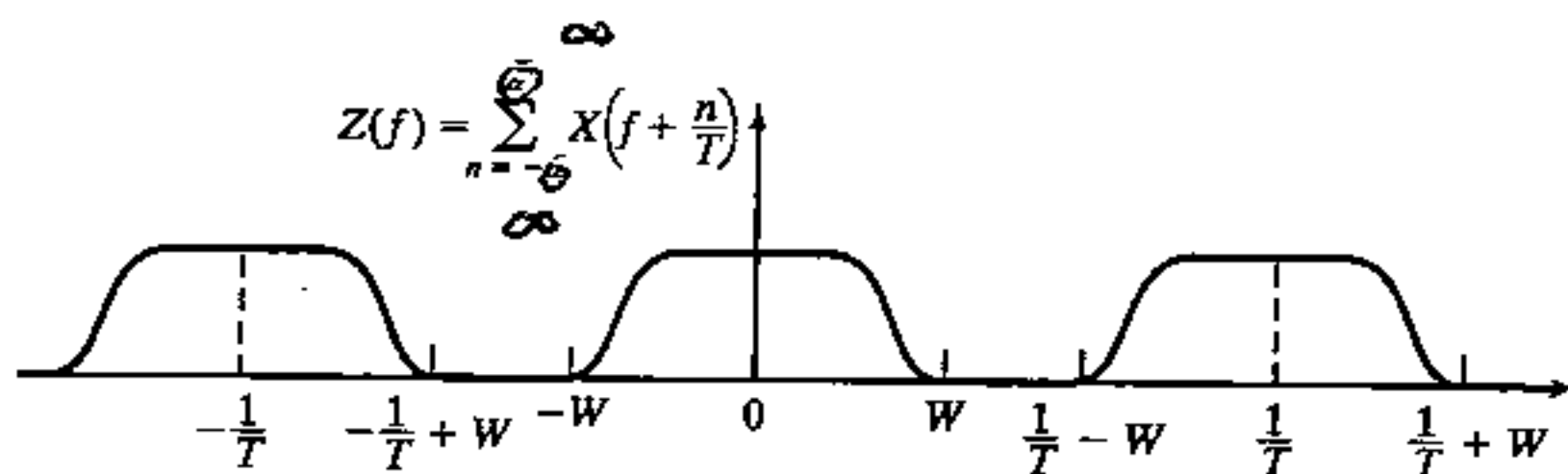


Figure 9.6 Plot of $Z(f)$ for the case $T < \frac{1}{2W}$. $\frac{1}{T} > 2W$

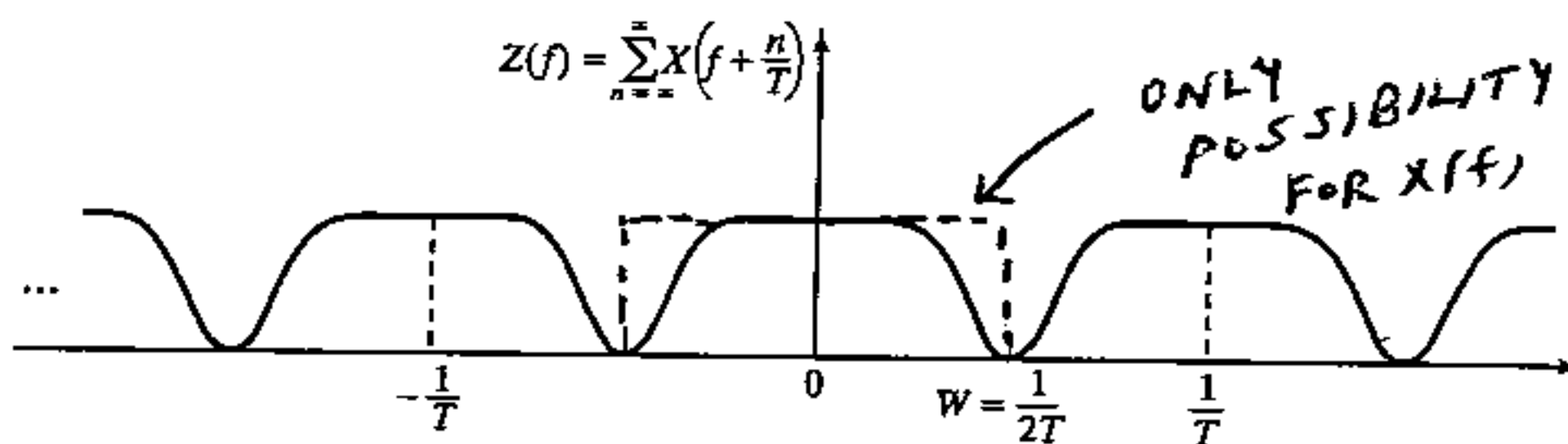
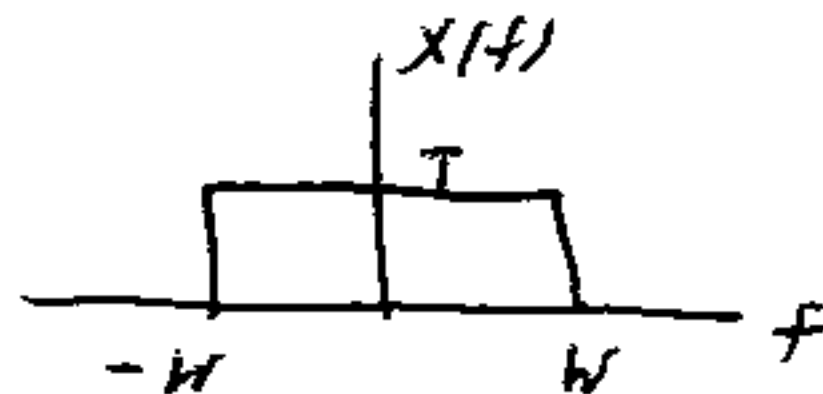


Figure 9.7 Plot of $Z(f)$ for the case $T = \frac{1}{2W}$. $\frac{1}{T} = 2W$

FOR $\frac{1}{T} > 2W \Rightarrow$ NO $X(f)$ EXISTS

FOR $\frac{1}{T} = 2W \Rightarrow$ ONLY SOLUTION IS



$$\Rightarrow X(t) = \frac{\sin 2\pi W t}{2\pi W t}$$

SEE SLIDE 18



$$X(t) = \frac{\sin \pi t/T}{\pi t/T}$$

PROBLEM WITH THIS PULSE IS THAT TAILS DIE OFF AS $1/t$. WHAT HAPPENS IF OUR SAMPLER IS IN ERROR (TIMING JITTER)?

EXAMPLE: SIGNAL AT SAMPLER INPUT IS

$$y(t) = \sum_{n=-\infty}^{\infty} a_n \delta(t-nT)$$

$$= \sum_{n=-\infty}^{\infty} a_n \frac{\text{SIN} \pi(t-nT)/T}{\pi(t-nT)/T}$$

$$y(0) = \sum_{n=-\infty}^{\infty} a_n \frac{\text{SIN} \pi nT/T}{\pi nT/T}$$

$$\underbrace{\frac{\text{SIN} \pi nT}{\pi nT}} = \begin{matrix} 0 & n \neq 0 \\ 1 & n = 0 \end{matrix}$$

$$= a_0$$

$$y(T/2) = \sum_{n=-\infty}^{\infty} a_n \frac{\text{SIN} \pi(T/2-nT)/T}{\pi(T/2-nT)/T}$$

$$\rightarrow = \frac{\text{SIN} [\pi/2 - n\pi]}{\pi(\frac{1}{2} - n)} = \frac{\text{SIN} \pi/2 \cos(-n\pi)}{-\pi(n - \frac{1}{2})}$$

$$= \frac{(-1)^n}{-\pi(n - \frac{1}{2})}$$

$$y(T/2) = \sum_{n=-\infty}^{\infty} a_n \frac{(-1)^n}{-\pi(n - \frac{1}{2})}$$

$$= -\frac{1}{\pi} \left(\dots + \frac{a_{-2}}{-5/2} + \frac{a_{-1}}{3/2} + \frac{a_0}{-1/2} + \frac{a_1}{-1/2} + \frac{a_2}{3/2} + \dots \right)$$

LET $a_{-2} = 1, a_{-1} = -1, a_0 = 1, a_1 = 1, a_2 = -1, \dots$

$$= \frac{1}{\pi} \left(\dots + \frac{2}{5} + \frac{2}{3} + 2 + 2 + \frac{2}{3} + \dots \right)$$

$$y(\tau/2) = \frac{1}{\pi} \sum_{n=-\infty}^{\infty} \frac{1}{|n - \frac{1}{2}|} \rightarrow \infty \quad \text{"EYE" IS CLOSED}$$

NEED $1/n^2$ DELAY OR $1/t^2$ AT LEAST FOR π/t .

MUST HAVE $1/T < 2W$

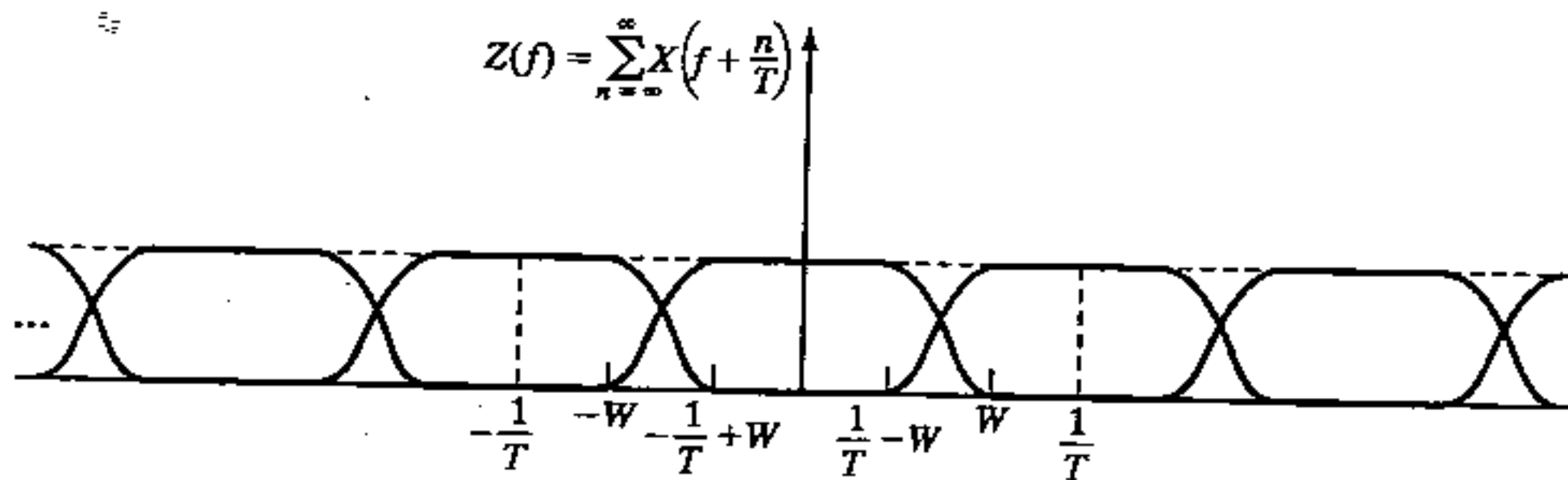


Figure 9.8 Plot of $Z(f)$ for the case $T > \frac{1}{2W}$.

IN PRACTICE, A RAISED COSINE FREQ. RESPONSE IS USED

$$X_{rc}(f) = \begin{cases} T, & 0 \leq |f| \leq (1-\alpha)/2T \\ \frac{T}{2} \left[1 + \cos\left(\frac{\pi T}{\alpha} \left(|f| - \frac{1-\alpha}{2T}\right)\right) \right], & \frac{1-\alpha}{2T} \leq |f| \leq \frac{1+\alpha}{2T} \\ 0, & |f| > \frac{1+\alpha}{2T} \end{cases} \quad (9.2.20)$$

$$W = \frac{1}{2T} (1+\alpha)$$

$$0 \leq \alpha \leq 1$$

$$\frac{1}{2T} \leq W \leq \frac{1}{T}$$

↑ PREVIOUS CASE ↑ TWICE AS MUCH BANDWIDTH

$$x(t) = \frac{\sin(\pi t/T)}{\pi t/T} \cdot \frac{\cos(\pi \alpha t/T)}{1 - 4\alpha^2 t^2/T^2}$$

$x(0) = 1$ AND $x(t)$ DECAYS AS $1/t^2$

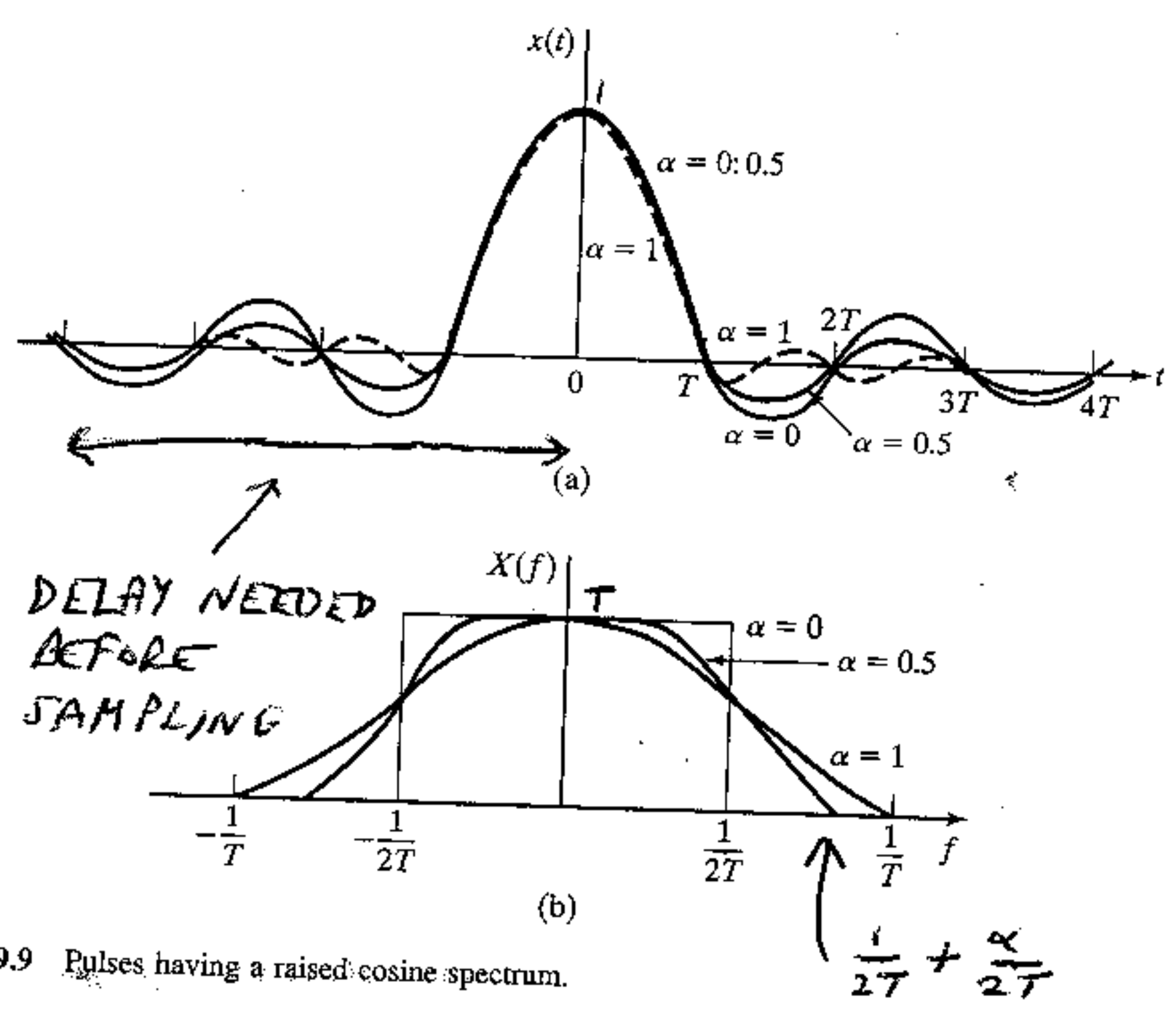


Figure 9.9 Pulses having a raised cosine spectrum.

FOR AN IDEAL CHANNEL $C(f) = 1 \quad |f| \leq W$
 $X(f) = G_T(f) C(f) G_R(f) = G_T(f) G_R(f)$
 AND $G_R(f)$ A MATCHED FILTER \Rightarrow
 $G_R(f) = G_T^*(f)$
 $X(f) = |G_T(f)|^2$